


DOCUMENT TYPE	P	
ADMINISTRATIVE	5	
GOVERNANCE	1	
RISK MANAGEMENT	2	
NUMBER	005	
		Policy Database Document Reference Number 512005P

COMPLIANCE POLICY

Purpose/ objectives	The purpose of the Compliance Policy is to promote and facilitate excellence in governance and continuing improvement in compliance with all applicable laws and regulations.
Scope/ Application	<ul style="list-style-type: none"> • All campuses • All staff
Policy Statement	La Trobe University is committed to ensuring that it complies with all applicable laws and regulations, and that it will strive to meet the requirements of those standards and codes of practice that apply to its day-to-day activities and responsibilities.
Supporting Procedures	Compliance Procedures
Responsibility for implementation	Director Risk Management
Responsibility for monitoring implementation and compliance	Director Risk Management Corporate Governance and Audit Committee (CGAC)
Status	Revised Format Prior Approval CGAC 5 December 2006.
Key stakeholders	CGAC
Approval Body	CGAC 27 November 2008, item 5.1
Initiating Body or person(s)	CGAC
Definitions	NA
Related legislation	NA
Related Policy	NA

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and other documents	
Date Effective	27 November 2008
Next Review Date	November 2012
Keywords	compliance, risk management, CGAC, governance, audit
Owner/Sponsor	Director Risk Management
Author	Director Risk Management
Contact person or area	Director Risk Management